IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Sakae KOYATA, et al.

Confirmation No.:

2851

Serial No.:

10/561,821

Art Unit:

1765

Filed:

February 7, 2007

Examiner:

To be assigned

For:

Processing Method of

Attorney Docket No:

JG-SU-5221/Y3079-00107

Silicon Wafer

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant herewith submits this Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, and hereby make of record the following references:

	Document Number	Date	Country and/or Name	English Translation or Equivalent
*	JP-2003100701	April 4, 2003	Japan – Sumitomo Mitsubishi Silicon Corp.	yes
*	JP 2003203890	July 18, 2003	Japan – Sumitomo Mitsubishi Silicon Corp.	yes
*	US 5,340,437	August 23, 1994	US – Erk, et al.	yes

CERTIFICATE OF MAILING UNDER 37 C.F.R §1.8(a)					
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being					
MAILED	ELECTRONIC FILING				
□ deposited with the United States Postal Service on December 2,2008 with sufficient postage as EXPRESS MAIL LABEL NO in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Julia L. Watts (Signature of person mailing paper)	filed electronically on December 8, 2008 with the United States Patent and Trademark Office. Julia L. Watts (Signature of person mailing paper)				

	Document Number	Date	Country and/or Name	English Translation or Equivalent
*	US 2003/0171075 A1	September 11, 2003	US – Nihonmatsu, et al.	yes
*	US 6,346,485 B1	February 12, 2002	US – Nihonmatsu, et al.	yes
*	US 6,234,873 B1	May 22, 2001	US – Yamamoto, et al.	yes
**	JP 2002-203823	July 19, 2002	Japan	corresponds to US 2003/171075 A1
**	JP 11-135474	May 21, 1999	Japan	corresponds to US 6,234,873 B1
***	WO 02/01616 A1	January 3, 2002	PCT – Shin-Etsu Handotai Co., Ltd.	yes

Accompanying this Information Disclosure Statement and Form PTO-1449 are copies of the documents including English language abstract, if any.

The references noted above with an "*" have been cited in an Office Action by the European Patent Office, the references noted above with an "**" have been cited in an Office Action by the Chinese Patent Office and references noted above with an "***" have been cited in an Office Action by the Korean Patent Office. Copies of these Reports are attached hereto for reference.

The USPTO waived the requirement under 37 C.F.R. §1.98(a)(2)(i) for submitting of copies of U.S. patents and U.S. patent application publications in all U.S. applications filed after June 30, 2003.

This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(b), before the mailing of a first Office Action on the merits. Therefore, Applicants do not believe that any fees are due. If the Commissioner determines that a fee is required, the Office is hereby authorized to charge said fee to and any other fees that may be due to Duane Morris LLP Deposit Account No. 04-1679.

No admission is made that the information cited in this Statement is, or is considered to be, material to patentability and no representation is made that a search has been made. 37

C.F.R. §§1.97(g) and (h).

Dated: December 8, 2008

Respectfully submitted,

Jules E. Goldberg Reg. No. 24,408 Attorney for Applicants DUANE MORRIS LLP

1540 Broadway

New York, New York 10036

212-692-1000

JEG:jlw